

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	MCD125
	First Inventor	Robert Hale GRANT
	Title	CONFIGURATION MANAGEMENT FOR TRANSPARENT GATEWAYS IN HETEROGENEOUS STORAGE NETWORKS
	Express Mail Label No.	EL533428728US

U.S. PTO
 22386
 10/699577
 103103

APPLICATION ELEMENTS	Commissioner for Patents Mail Stop Patent Application Alexandria, VA 22313-1450
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1. <input checked="" type="checkbox"/> Fee Transmittal Form (submit an original and a duplicate for fee processing) 2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 3. <input checked="" type="checkbox"/> Specification [total pages <u>24</u>] (preferred Arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R&D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) [total sheets <u>4</u>] 5. <input checked="" type="checkbox"/> Oath or Declaration [total pages <u>6</u>] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from prior appl. (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).	6. <input type="checkbox"/> Application Data Sheet. (See 37 CFR 1.76) 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies
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ACCOMPANYING APPLICATION PARTS	
9. <input checked="" type="checkbox"/> Assignment Papers (coversheet/document(s))	
10. <input type="checkbox"/> 37 CFR. 3.73(b) Statement (when there is an assignee)	<input type="checkbox"/> Power of Attorney
11. <input type="checkbox"/> English Translation Document	
12. <input type="checkbox"/> IDS & Form PTO/SB/08A	<input type="checkbox"/> Copies of IDS Citations
13. <input type="checkbox"/> Preliminary Amendment	
14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)	
15. <input type="checkbox"/> Certified Copy of Priority Document(s)	
16. <input type="checkbox"/> Nonpublication Request Under 35 USC 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35	
17. <input checked="" type="checkbox"/> Other: Certificate of Mailing	


18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____

Prior application information: Examiner: _____ Group/Art Unit: _____

FOR CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS					
<input checked="" type="checkbox"/> Customer Number 25235 or <input type="checkbox"/> Correspondence address below					
Name					
Address					
City		State		ZIP	
Country		Telephone		Fax	

Name (Print/Type)	Eugene J. Bernard	Registration No.	42,320
(Signature)		Date	October 31, 2003

FEE TRANSMITTAL for FY 2003

Complete if Known

Application Number
Filing Date **October 31, 2003**
First Named Inventor **Robert Hale GRANT**
Examiner Name
Group / Art Unit
Attorney Docket No. **MCD125**

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT **(\$810.00)**

METHOD OF PAYMENT (check all that apply)

☐ check ☐ credit card ☐ money order ☐ other ☐ none
☒ Deposit Account

Deposit Account Number **50-1123**

Deposit Account Name **Hogan & Hartson L.L.P.**

The Commissioner is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) for this filing
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
750	375	Utility Filing Fee	\$770.00
330	165	Design filing fee	
520	260	Plant filing fee	
750	375	Reissue filing fee	
160	80	Provisional filing fee	

SUBTOTAL (1) **(\$770.00)**

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
19	-20**= 0	X 18	= \$ 0.00
Independent Claims 3	-3**= 0	X 84	= \$ 0.00
Multiple Dependent			= \$ 0.00

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
18	9	Claims in excess of 20
84	42	Independent claims in excess of 3
280	140	Multiple dependent claim, if not paid
84	42	**Reissue independent claims over original patent
18	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) **(\$0.00)**

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for ex parte reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SRI after Examiner action	
110	55	Extension for reply within first month	
410	205	Extension for reply within second month	
930	465	Extension for reply within third month	
1,450	725	Extension for reply within fourth month	
1,970	985	Extension for reply within fifth month	
320	160	Notice of Appeal	
320	160	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,300	650	Petition to revive - unintentional	
1,300	650	Utility issue fee (or reissue)	
470	235	Design issue fee	
630	315	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Processing fee under 37 CFR 1.17(q)	
180	180	Submission of Info Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	\$40.00
750	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
750	375	For each additional invention to be examined (37 CFR § 1.129(b))	
750	375	Request for Continued Examination	
900	900	Request for expedited examination of a design application	
Other fee (specify)			

*Reduced by Basic Filing Fee Paid

SUBTOTAL (1)

(\$40.00)

SUBMITTED BY Complete (if applicable)

Name (Print/Type) **Eugene J. Bernard**

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Signature

Eugene J. Bernard

Date

October 31, 2003

Attorney Docket No. MCD125
Client/Matter No. 83629.0046

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.		Confirmation No.
Inventor:	Robert Hale GRANT, et al.	Title: CONFIGURATION MANAGEMENT FOR TRANSPARENT GATEWAYS IN HETEROGENEOUS STORAGE NETWORKS
Filed:	October 31, 2003	
TC/A.U.		
Examiner:		
Docket No.	MCD125	
Customer No.	25235	

CERTIFICATE OF MAILING BY EXPRESS MAIL

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The undersigned hereby certifies that the following documents:

1. Utility Patent Application Transmittal;
2. Fee Transmittal;
3. Specification (19 pages); Claims (4 pages); Abstract (1 page) and Formal Drawings (4 sheets);
4. Recordation Form Cover Sheet and Assignments (signed);
5. Declarations (signed);
6. Return Postcard, and

this Certificate of Mailing relating to the above application, were deposited as "Express Mail," Mailing Label No. EL533428728US with the U.S. Postal Service, addressed to: Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450, on October 31, 2003

October 31, 2003



Mailer

October 31, 2003



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